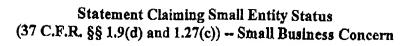
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Applicant or Patentee: John J. HARRINGTON, Bruce SHERF and Stephen RUNDLETT		
	ppl, or Patent No.: 09/276,820 Attorney Docket No. 1522,0030004/MAC/BJD led or Issued: March 26, 1999	
For: Compositions and Methods for Non-targeted Activation of Endogenous Genes		
I hereby	state that [] [X]	I am the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below:
	NAME	DF SMALL BUSINESS CONCERN Athersys, Inc.
	ADDRE	SS OF SMALL BUSINESS CONCERN 11000 Cedar Avenue, Cleveland, Ohio 44106
the numb the numb time, par	state that a ced in 37 (ber of emp cer of emp t-time or t	the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.3-18, and C.F.R. § 1.9 (d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that bloyees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) loyees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-emporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, ly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control
I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:		
, m	[] [X] []	the specification filed herewith with title as listed above. the application identified above. the patent identified above.
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate statements indicating their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e). Each person, concern or organization having any rights in the invention (other than the small business concern named above) is listed		
below:	[X]	no such person, concern, or organization exists. each person, concern, or organization is listed below.
	NAME_	
	ADDRE:	() INDIVIDUAL (X) SMALL BUSINESS CONCERN () NONPROPIT ORGANIZATION
Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27)		
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))		
NAME OF PERSON SIGNING / AND HOLD JAMES J. KOVACH TITLE IN ORGANIZATION CHIEF OPERATION OF FILER		
TITLE I	N ORGAN	
ADDRESS OF PERSONSIGNING 11000 CEDAR AVE SLEVE LAND 040 44106		
SIGNATURE Janes (Cench DATE 7/1/99		